TSD-403

Submitted the documents to broker / custodian

Application for Securities Transfer between the Issuer Account and the Depository Participant Account

Highly Confidential

For official use only
Date _____
Checker _____

I/We _____ wish to transfer the securities, of which the details and certification appear in the attached documents concerning the securities intended to be transferred/received between the Issuer Account and the Participant Account, as shown in the attached documents, totaling _____ items.

1. Please fill the information

- **Broker/Custodian name** _____
- **Customer account name** _____
- **Customer account no.** _____

Objective

- ☐ Asset Transfer (ATTT)
- ☐ Capital Decrease Transfer (CDTT)
- ☐ Tender Offer (TOTT)
- ☐ Collateral/Collateral Out (COLO)
- ☐ Cross Border Transfer (CBTT)
- ☐ Legal Transfer (LTTT)
- ☐ Collateral Return/Collateral In (COLI)
- ☐ Others (Please specify).....

2. Type of transfer (please select the type of transfer and fully specify the details)

◯ **2.1 From issuer account to broker/custodian account**

◯ **2.2 From broker/custodian account to issuer account**

Please fill the information of securities holder

Nationality _____ Occupation _____ National I.D. card/Passport/Company Registration number _____ Mailing address* _____

_____ Postcode _____ Home phone _____ Office phone _____ Mobile Phone _____ email address _____

Remark *In the event that the address provided does not match the address in TSD's Central Registration Database, I/We hereby agree to the use of the Central Registration Database for processing purposes, including for the delivery of proxy forms and related meeting invitation documents. In the case that I am a first-time securities holder, the address specified above shall be used as the primary address for the delivery of such documents only.

Please fill FATCA/CRS Self-Certification Form

3. I/We hereby certify that I/We have examined the information appearing in this application form and the documents attached hereto, including any relevant supporting documents ("Documents") and have found them to be accurate, complete, and fully in accordance with my/our intention. I/We acknowledge and consent to Thailand Securities Depository Co., Ltd. ("TSD") reserving the right to cancel the processing of this application, in whole or in part, if any information or documents submitted by me/us are found to be incomplete, inaccurate, or outdated.

4. I/We acknowledge that I/We may disclose the information in this document to the Revenue Department, other authorized government agencies, or any entity having an agreement with TSD permitting access to such information or documents.

5. I/we have thoroughly read all the details specified in the privacy notice of the Stock Exchange of Thailand group ("SET Group") (https://www.set.or.th/th/privacy-notice.html) and acknowledged that my/our personal data, and any third party's personal data I/we have provided to TSD (if any) will be processed and protected under such privacy notice. In this regard, I/We hereby represent and confirm that I/We have procured such third party to read all the details specified in such privacy notice and have duly obtained a proper and lawful consent from such third party to provide the personal data of the third party to TSD.

In addition, I/we hereby acknowledge that in case of any special action (such as where fingerprint is used instead of signature) which additional information that may contain sensitive personal data is required to be submitted to TSD and such additional information is necessary for the provision of TSD service, not providing such additional information may render TSD to be unable to provide TSD service to me/us. Hence, by signing this application, I/we agree and consent that such sensitive personal data to be processed by TSD in connection with the purpose of the provision of TSD service.

Please submit the documents to broker / custodian

Signature _____ Securities Holder

(_____) Phone number _____

For securities company or custodian registered with Thailand Securities Depository Co., Ltd.

I _____ depository participant number _____ have considered and examined the documents and evidence supporting the application for the transfer from the securities owner who deposited the securities in the issuer account and hereby warrant that the transfer/transfer acceptance transaction specified above is true. In case I am authorized to proceed on behalf of the securities owner who is my customer using a copy of the Power of Attorney and the customer's identification documents certified as correct on the customer's behalf for the purpose of taking actions under this application, if any damage may be caused or is caused by such acts to Thailand Securities Depository Co., Ltd. or any other person in any respect, I hereby agree to be liable and to compensate Thailand Securities Depository Co., Ltd. or any other person in full.

Signature _____ Authorized person

(_____)

บริษัท ศูนย์รับฝากหลักทรัพย์ (ประเทศไทย) จำกัด
เลขที่ 93 ถนนรัชดาภิเษก แขวงดินแดง
เขตดินแดง กรุงเทพฯ 10400

Thailand Securities Depository Co., Ltd.
93 Ratchadaphisek Road, Din Daeng,
Din Daeng, Bangkok 10400

SET Contact Center
www.set.or.th/contactcenter
02 009 9999

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Attachment : Details of the securities to be transferred between the Issuer Account and the Depository Participant Account

No.	Securities symbol	Securities name	Number of securities to be transferred (Share/unit)	Amount of securities in letters (Share / unit)	For official use only Transaction No.

Remark The TSD reserves the rights to proceed in accordance only with the application forms containing complete and correct formation.

Signature _____ Securities Holder

(_____)

TSD-403_V.6/2025 : 24/11/2025

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Supporting Documents for the Application for the Securities Transfer between the Issuer Account and the Depository Participant Account

Type of person	Identification Documents
Individual Person **-Thai Nationality**	A Copy of Thai ID Card which has been certified as true and correct copy of the original document by the document owner.
Individual Person **- Other Nationalities**	A Copy of alien identification card / passport which has been certified as true and correct copy of the original document by the document owner.
Juristic Person **-Thai Nationality**	1. A copy of the juristic person registration certificate issued by the Ministry of Commerce issued not more than 1 year before the date of submission as part of this application which has been certified as true and correct copy of the original document by the authorized directors. 2. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner
Juristic Person **- Other Nationalities**	1. A copy of the juristic person registration certificate issued by the regulating government agency in the country where such juristic person is domiciled. 2. A copy of the company's affidavit enumerating its directors authorized to bind the company and conditions relating thereto, showing the juristic person's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the governmental agency in the country where such juristic person is domiciled. 3. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner. All documents for "Other Nationalities" above, must have been: 1) notarized by the notary public or certified by any competent authority in the country where such documents were prepared or certified for the certification of the signature of the person who prepared or certified such documents. 2) certified by the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified for the notarization or certification performed by the public notary or such competent authority under 1) (The certification must be issued not more than 1 year before the date of submission as part of this application.) Remark : Any document prepared in a foreign language other than English must be translated into English and executed with a certification of accuracy by the translator.

Remark : 1. Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.
2. TSD will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.